

**VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(c))--SMALL BUSINESS CONCERN**

Docket Number (Optional)
AT-1

Applicant or Patentee: AssureTec Systems, Inc.

Application or Patent No.: _____

Filed or Issued: _____

Title: Validation and Verification Apparatus and Method

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN AssureTec System, Inc.

ADDRESS OF SMALL BUSINESS CONCERN 340 Granite St., Manchester, NH 03102

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☒ the specification filed herewith with title as listed above.
☐ the application identified above.
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention must file separate verified statements averring to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

- ☒ Each person, concern, or organization having any rights in the invention is listed below:
☐ no such person, concern, or organization exists.
☐ each such person, concern, or organization is listed below.

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING BRUCE C. MONK
TITLE OF PERSON IF OTHER THAN OWNER PRESIDENT, ASSURETEC Sys,
ADDRESS OF PERSON SIGNING 340 GRANITE ST, MANCHESTER, NH
SIGNATURE Bruce C. Monk DATE 11/20/01

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As below-named inventors, we hereby declare that:

Our residences, post-office addresses and citizenship are as stated below next to our name.

We believe we are the original, first and joint inventors of the subject matter which is claimed and for which a patent is sought by the application for U.S. Letters Patent entitled **VALIDATION AND VERIFICATION APPARATUS AND METHOD**, the specification of which is attached hereto of AssureTec System, Inc., 340 Granite Street, Suite 200, Manchester, N.H. 03102 - 4004.

We hereby state that we have reviewed and understand the contents of the above-identified application specification, including the claims.

We acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, paragraph 1.56.

We hereby claim foreign priority benefits under Title 35, United States Code 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed: **NONE**
We hereby claim the benefit under Title 35, United States Code 120, of the United States Application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code 112, we acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application: **NONE**

We hereby declare that all statements made herein of our knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Power of Attorney:

We hereby appoint **Joseph E. Funk, Reg. No. 25,974, PO Box 661, Londonderry, N.H. 03053**, our attorneys, with full power of substitution, delegation and revocation, to prosecute this application, to make alterations and amendments therein, to receive the patent and to transact all business in the Patent and Trademark Office connected therewith.

Please address all correspondence to Joseph E. Funk.

Please direct all telephone calls to Joseph E. Funk at (603)432-8788.

Signature:

Bruce C. Monk

Date:

11/20/01

Name:

Bruce C. Monk

Residence:

**8 Robin Drive
Hudson, New Hampshire 03051**

Citizenship:

United States

P. O. Address: **Same as Residence**

Theodore T. Kuklinski

11/20/01

Theodore T. Kuklinski

24 Henshaw Terrace

Weston, MA 02465

United States

Same as Residence